

POST EXPERIENCE POSTGRADUATE PROGRAMMES

MSc in Regulation and Compliance

Stage 1 – Postgraduate Certificate		Study Schedule Cohort 2
Induction Starter Workshop 16 September 2019		Stage 1 Assessment Dates
Module 1 – Stakeholders and the Business of Finance (ICM131) Lecture dates: 7 October 21 October 4 November 18 November 2019	4 days	Academic Misconduct Test
Module 2 – Financial Markets and Instruments for Regulators (ICM133) Lecture dates: 7 January 27 January 10 February 24 February 2020	4 days	ICM131 Assig. released: 7 October 2019 Assig. due: 25 November 2019 Examination date: 13 December 2019
Module 3 – Core Topics in Financial Regulation (ICM132) Lecture dates: 27 April 11 May 1 June 15 June 2020	4 days	ICM133 Assig. released: 7 January 2020 Assig. due: 2 March 2020 Examination date: 3 April 2020
CISI Diploma in Investment Compliance Examinations (dates to be determined) • Introduction to Securities & Investment (if not exempt) • Risk in Financial Services		ICM132 Assig. released: 27 April 2020 Assig. due: 22 June 2020 Examination date: 24 July 2020
Additional self study		Examination resit date: 20 August 2020 <i>(taken at Whiteknights campus)</i>
Stage 2 – Postgraduate Diploma		Study Schedule Cohort 2
Module 4 – Governance, Ethics and Culture in Financial Services (ICM134) Lecture dates: 5 October 19 October 2 November 16 November 2020		Stage 2 Assessment Dates
Module 5 – Strategic Approaches to the Business of Finance (ICM138) Lecture dates: 11 January 25 January 8 February 22 February 2021	4 days	ICM134 Assig. released: 5 October 2020 Assig. due: 23 November 2020 Examination date: 11 December 2020
Module 6 – Advanced Topics in Financial Regulations (ICM137) Lecture dates: 3 May 17 May 7 June 21 June 2021	4 days	CM138 Assig. released: 11 January 2021 Group work due: 22 February 2021 Assignment. due: 15 March 2021
CISI Diploma in Investment Compliance Examinations (dates to be determined) • Regulation and Professional Integrity Examination • Regulation and Compliance Examination		ICM137 Assig. released: 3 May 2021 Assig. due: 28 June 2021 Examination date: 23 July 2021
Additional self study		Examination resit date: 26 August 2021 <i>(taken at Whiteknights campus)</i>
Stage 3 – MSc Stage		Study Schedule Cohort 2
Module 7 – Research Skills (ICM176) Lecture dates: 4 October 18 October 1 November 15 November 2021		Stage 3 Assessment Dates
Module 8 – Research Project (RP) (ICM307) Lecture dates: 10 January 21 February 2022	2 days	ICM176 Assig. released: 4 October 2021 Assignment. due: 22 November 2021
Module 9 – Being a Reflective Practitioner (ICM175) Lecture dates: 25 April 23 May 2022	2 days	ICM307 Project due: 5 April 2022
Apprenticeship Levy Students: End Point Assessment (EPA) July-October 2022		ICM175 Assig. released: 25 April 2022 Assignment. due: 20 June 2022

NB: all dates are subject to change.

Please note that workshop attendance is compulsory.



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