

POST EXPERIENCE POSTGRADUATE PROGRAMMES

# MSc in Regulation and Compliance

Stage 1 – Postgraduate Certificate		Study Schedule Cohort 2
Induction Starter Workshop   16 September 2019		<b>Stage 1 Assessment Dates</b>
Module 1 – Stakeholders and the Business of Finance (ICM131) Lecture dates:   7 October   21 October   4 November   18 November 2019	4 days	<b>Academic Misconduct Test</b>
Module 2 – Financial Markets and Instruments for Regulators (ICM133) Lecture dates:   6 January   27 January   10 February   24 February 2020	4 days	ICM131 Assig. released: 7 October 2019 Assig. due: 25 November 2019 <b>Examination date: 13 December 2019</b>
Module 3 – Core Topics in Financial Regulation (ICM132) Lecture dates:   27 April   11 May   1 June   15 June 2020	4 days	ICM133 Assig. released: 7 January 2020 Assig. due: 2 March 2020 <b>Examination date: 3 April 2020</b>
CISI Diploma in Investment Compliance Examinations <i>(dates to be determined)</i> • Introduction to Securities & Investment (if not exempt) • Risk in Financial Services		ICM132 Assig. released: 27 April 2020 Assig. due: 22 June 2020 <b>Examination date: 24 July 2020</b>
Additional self study		<b>Examination resit date: 20 August 2020</b> <i>(taken at Whiteknights campus)</i>
Stage 2 – Postgraduate Diploma		Study Schedule Cohort 2
Module 4 – Governance, Ethics and Culture in Financial Services (ICM134) Lecture dates:   5 October   19 October   2 November   16 November 2020		<b>Stage 2 Assessment Dates</b>
Module 5 – Strategic Approaches to the Business of Finance (ICM138) Lecture dates:   11 January   25 January   8 February   22 February 2021	4 days	ICM134 Assig. released: 5 October 2020 Assig. due: 23 November 2020 <b>Examination date: 11 December 2020</b>
Module 6 – Advanced Topics in Financial Regulations (ICM137) Lecture dates:   3 May   17 May   7 June   21 June 2021	4 days	CM138 Assig. released: 11 January 2021 Group work due: 22 February 2021 <b>Assignment. due: 15 March 2021</b>
CISI Diploma in Investment Compliance Examinations <i>(dates to be determined)</i> • Regulation and Professional Integrity Examination • Regulation and Compliance Examination		ICM137 Assig. released: 3 May 2021 Assig. due: 28 June 2021 <b>Examination date: 23 July 2021</b>
Additional self study		<b>Examination resit date: 26 August 2021</b> <i>(taken at Whiteknights campus)</i>
Stage 3 – MSc Stage		Study Schedule Cohort 2
Module 7 – Research Skills (ICM176) Lecture dates:   4 October   18 October   1 November   15 November 2021		<b>Stage 3 Assessment Dates</b>
Module 8 – Research Project (RP) (ICM307) Lecture dates:   10 January   21 February 2022	2 days	ICM176 Assig. released: 4 October 2021 <b>Assignment. due: 22 November 2021</b>
Module 9 – Being a Reflective Practitioner (ICM175) Lecture dates:   25 April   23 May 2022	2 days	ICM307 <b>Project due: 5 April 2022</b>
Apprenticeship Levy Students: End Point Assessment (EPA)   mid-Jun – mid-Sep 2022		ICM175 Assig. released: 25 April 2022 <b>Assignment. due: 20 June 2022</b>

*NB: all dates are subject to change.*

**Please note that workshop attendance is compulsory.**



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